

致：香港銅鑼灣威非路道 18 號萬國寶通中心 25 字樓  
To: 25/F., Citicorp Centre, 18 Whitfield Road, Causeway Bay, Hong Kong

FORM ID-SCERT

中銀保誠簡易強積金計劃  
BOC-PRUDENTIAL EASY-CHOICE MANDATORY PROVIDENT FUND SCHEME

Sample 樣本

注意 Note: 1. 請以正楷填寫。Please complete in BLOCK LETTERS.

- 若未有適當簽署本表格及註明日期，本表格將被視為無效，受託人並無責任執行本表格上填寫的指示。The form would be deemed invalid if it is not duly signed and dated, and the trustee shall be under no obligation to process the instruction.
- 請於任何刪改處旁邊加簽。Please initial next to any alterations made on the form.
- 如賬戶持有人的稅務居住地有任何改變，閣下必須在改變後的 30 天內通知受託人有關的改變並提供最新的自我證明表格。An account holder must notify the Trustee and provide an updated Self-Certification form within 30 days if there is any change in circumstances relating to your status of tax residency(ies).

甲部 計劃成員資料		PART A PERSONAL DETAILS OF SCHEME MEMBER	
計劃編號 (如適用) Scheme No. (If applicable)	00123456789		
僱主名稱/公司名稱 (如適用) Name of Employer / Name of Company (If applicable)	-----		
申請人全名 Applicant Full Name (必須與香港身份證/護照相同 as shown on HKID/Passport)	英文姓氏 English Surname	英文名字 English Given Name	
	Chan	Tai Man	
成員類別 Type of member	<input type="checkbox"/> 僱員成員 Employee Member <input checked="" type="checkbox"/> 額外自願性供款成員 Special Voluntary Contribution Member <input type="checkbox"/> 自僱成員 SEP Member <input type="checkbox"/> 可扣稅自願性供款成員 Tax Deductible Voluntary Contribution Member <input type="checkbox"/> 個人賬戶成員 Personal Account Member <input type="checkbox"/> 獨資經營者/個人經營者 Sole Proprietor/Individual		
	<input checked="" type="checkbox"/> 香港身份證號碼 HKID No. <input type="checkbox"/> *護照號碼 *Passport No.		
	Y111111(2)	出生日期 Date of Birth	0 1 0 1 1 9 9 7 日 DD 月 MM 年 YYYY
* 護照號碼僅供沒有香港身份證的成員填寫。* Passport No. ONLY applicable to member without HKID. 以下所有聯絡資料，將自動適用於閣下以同一香港身份證/護照號碼登記於中銀保誠簡易強積金計劃(本計劃)的所有賬戶。(不適用於獨資/個人經營者) All the below contact information will automatically apply to <u>ALL</u> your accounts maintained under the same HKID/Passport number registered under BOC-Prudential Easy-Choice Mandatory Provident Fund Scheme (the "Scheme"). (Not applicable to Sole Proprietor/Individual)			
現時住宅地址 Current Residential Address (恕不接受郵政信箱 P.O. Box will not be accepted)	室 Flat/Room	樓 Floor	大廈/屋邨名稱 Name of Building/Estate
	123	1	Happy Building
	門牌號碼 Street No.		街道名稱 Name of Street
	1		Happy Street
	地區 District	<input checked="" type="checkbox"/> 香港 HK <input type="checkbox"/> 九龍 KLN <input type="checkbox"/> 新界 NT	
	Central	只適用於香港以外地址 Applicable to address outside HK only 城市 City 國家/地區 Country/ Region	
通訊地址 Correspondence Address  請注意：所有通訊將寄往通訊地址 Please note: all mails will be sent to the correspondence address	如與上述住宅地址不同，才須填寫此部分 Please complete this part if different from above Residential Address		
	室 Flat/Room	樓 Floor	大廈/屋邨名稱 Name of Building/Estate
	門牌號碼 Street No.		街道名稱 Name of Street
	地區 District		<input type="checkbox"/> 香港 HK <input type="checkbox"/> 九龍 KLN <input type="checkbox"/> 新界 NT
			只適用於香港以外地址 Applicable to address outside HK only 城市 City 國家/地區 Country/ Region

## 乙部 稅務居民身份自我證明(必須填寫)

## PART B TAX RESIDENCY SELF-CERTIFICATION (MUST FIL)

Sample 樣本

## 注意 Note:

1. 這是您向中銀國際英國保誠信託有限公司(「受託人」)提供的自我證明,以作自動交換財務帳戶資料用途以遵守稅務法律及規則(包括但不限於《稅務條例》(第112章)和根據自動交換資料有關的經濟合作與發展組織(OECD)《通用報告準則》(CRS)的規則)。受託人可把收集所得的資料交給稅務局以將資料交到另一申報稅務司法管轄區的主管部門。This is a self-certification provided by you to BOCI-Prudential Trustee Limited (the "Trustee") (for the purpose of Automatic Exchange of Financial Account Information ("AEOI")) in compliance with tax law and regulations (including but not limited to the Inland Revenue Ordinance (Cap.112) and regulations based on the Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard (CRS) for automatic exchange of information). The data collected may be transmitted by the Trustee to the Inland Revenue Department which may further exchange such information to the competent authority of another reportable jurisdiction.
2. 除非您的稅務居住地相關的情況有所改變,否則此自我證明將被視為仍然有效。您必須在改變後的30天內通知受託人有關的改變並提供最新的自我證明。This self-certification will remain valid unless there is any change in circumstances relating to your status of tax residency(ies). You must notify the Trustee within 30 days if there is any change in circumstances that makes any of the information provided in any parts of this self-certification form incorrect or incomplete and provide an updated self-certification form.
3. 受託人在開立成員帳戶前,必須取得完整及有效的稅務居民身份自我證明。為避免成員帳戶開立及供款處理(如有)有任何延誤,請細閱並完成以下所有適用部分。The Trustee **MUST** obtain the complete and valid tax residency self-certification for the setting up of member record. To avoid any delay in the setting up of member record and contribution settlement (if any), please read and complete all the appropriate parts below.
4. 受託人有權要求您提供所有相關的身份證明/驗證文件。如未能提供所需資料及其他個人資料,可能導致您的申請/指示不獲處理。All relevant identification/verification documentation will be provided to the Trustee upon request. Failure to provide us with the information and other personal data as requested may result in your application/instruction not being able to be processed.
5. 作為財務機構,受託人不獲允許提供稅務或法律意見。若您對您的稅務居民身份存有任何疑問,請詢問專業稅務顧問或瀏覽 OECD (<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>) 及稅務局 ([http://www.ird.gov.hk/eng/tax/dta\\_aeoi.htm](http://www.ird.gov.hk/eng/tax/dta_aeoi.htm)) 有關自動交換財務帳戶資料的網頁,或掃描此二維碼,以獲取更多 CRS 及相關資料。As a financial institution, the Trustee is not allowed to give tax or legal advice. If you have any questions regarding your tax residency, please consult your tax adviser or visit the OECD and Inland Revenue Department's AEOI website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/> and [http://www.ird.gov.hk/eng/tax/dta\\_aeoi.htm](http://www.ird.gov.hk/eng/tax/dta_aeoi.htm) respectively, or simply scan the QR code, for more CRS and related information.



(OECD)

如有需要,請參考 OECD 或稅務局  
網站資料



(IRD 稅務局)

6. 於甲部提供的個人資料,包括姓名、身份證明文件號碼、出生日期及住址,將成為此自我證明的一部分。

The personal information, including name, identity document number, date of birth and residential address, provided in Part A will form part of this self-certification.

閱讀上述注意事項後填寫下列部分 Complete following section after reading the Note above

**重要提示:** 如申請人在本表格填寫的稅務居民身份自我證明資料與本計劃下其他帳戶的紀錄不同,本公司將以本表格的資料為最新紀錄並將取代申請人於本計劃下所有帳戶的舊有紀錄。**Important Notes:** If the tax residency self-certification information furnished in this form is different from the pre-existing record(s) kept under the "Scheme", we shall deem such information in this form as the most updated particulars and will supersede **previous record(s) of ALL account(s)** under the Scheme.

- (I) 以本人所知及所信,在此聲明(如適用,請在下面的方格上填上「✓」):

I hereby declare that, to the best of my knowledge and belief (Please put a "✓" in the following box as appropriate):

本之稅務居住地為 My Tax Residence is

- ☐ 只有香港,及沒有處於任何其他司法管轄區的稅務居住地(稅務編號:本人提供的香港身份證號碼)  
Hong Kong **ONLY**, with no tax residence in any other jurisdictions (Tax Identification Number: my HKID Card No. provided)

☒ 您可略過第(II)部分。 You may skip Section (II).

如果上面的方格不適用,請填寫第(II)部分。該部分為稅務居住地是(甲)香港及其他司法管轄區或(乙)不是香港而是其他司法管轄區的稅務居民必須填寫的部分。If the box above does not apply, please proceed to Section (II) which must be filled in for tax residence of either (a) Hong Kong and also some other jurisdictions or (b) not Hong Kong, but instead some other jurisdictions.

- ☐ 是香港(稅務編號:本人提供的香港身份證號碼)及其他司法管轄區(請於第(II)部分列出所有香港以外其他司法管轄區的稅務編號)。  
Hong Kong (Tax Identification Number: my HKID Card No. provided) **AND** also some other jurisdictions (Please fill out the TIN for all other jurisdictions, other than HK, in the table of Section (II)).

- ☐ 不是香港而是其他司法管轄區的稅務居民(請填寫第(II)部分之列表)。  
**NOT** Hong Kong, but instead some other jurisdictions (Please fill out the table of Section (II)).

如有其他稅務居住地紀錄,(II)必須填寫!

- (II) 請在以下列明您作為稅務居民的所有司法管轄區(香港除外)及相關的稅務編號或具有等同功能的識別號碼(稅務編號)。如下列位置不敷應用,請按以下格式另加新頁。  
Please list all jurisdictions (other than Hong Kong) where you are a resident for tax purposes and the tax identification number or its Functional Equivalent (TIN) for each jurisdiction. If the space provided is insufficient, please provide it in the below format on additional sheet(s).

稅務居民所在司法管轄區 Jurisdiction of Tax Residency	稅務編號*1 TIN Remarks 1	若未能提供稅務編號,請於下方填上理由 A、B 或 C *2 If no TIN available, please indicate Reason A, B or C below Remarks 2	若您選擇理由 B,請在下方解釋無法取得稅務編號的原因 Please explain why you are unable to obtain a TIN if you have selected Reason B
(1)			
(2)			
(3)			

如有需要,請參考稅務局網站資料

## 註 Remarks:

1. 您可在以下OECD網頁 (<https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/>) 了解相關稅務居民司法管轄區發佈的稅務編號。For more guidance on a TIN, please visit the below OECD website at <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/>.

您可以在以下網頁了解內地、香港及澳門的稅務編號格式。In particular, you can visit the below webpages for the details of the TINs for Mainland, Hong Kong and Macau:

內地Mainland: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/China-TIN.pdf>

香港Hong Kong: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/Hong-Kong-TIN.pdf>

澳門Macau: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/Macao-TIN.pdf>

2. 理由A - 帳戶持有人所屬的稅務居民的司法管轄區沒有向其居民發出稅務編號。

Reason A: The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由B - 帳戶持有人無法獲得稅務編號。(若您選擇這理由,請在上表解釋您無法獲得稅務編號的原因。)

Reason B: The account holder is unable to obtain a TIN. (Please explain why you are unable to obtain TIN in the above table if you have selected this reason.)

理由C - 無需稅務編號。(註:只有在相關司法管轄區的主管當局不需要披露該司法管轄區發出的稅務編號方可選擇這理由。)

Reason C: No TIN is required. (Note: Only select this reason if the authorities of the relevant jurisdiction of residence does not require the TIN to be disclosed.)

**警告:** 根據《稅務條例》第80(2E)條,如任何人在作出自我證明時(包括此處描述構成自我證明的一部分的內容),在明知一項陳述在要項上屬具誤導性、虛假或不正確,或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下,作出該項陳述,即屬犯罪。一經定罪,可處第3級(即HK\$10,000)罰款。

**WARNING:** It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification (comprising the contents herein described as forming parts of the self-certification), makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HK\$10,000).

請選擇其一

請細閱以下說明。 Please ensure you have read the notes.

Sample 樣本

丙部 個人資料收集聲明

PART C

PERSONAL DATA COLLECTION STATEMENT

中銀保誠簡易強積金計劃(「本計劃」)之成員提供的個人資料及該等人士的交易詳情可用作處理本計劃的申請、處理及管理本計劃下的供款、累算權益、申索和轉移的用途,及/或用作進行直接促銷、改善或進一步提供本公司有關強積金產品及/或服務的用途。提供個人資料屬自願性質,唯未能向受託人提供所需資料,可能導致受託人不能處理有關申請及指示。如受託人或其他服務供應商認為有需要,他們可(在香港特別行政區及以外地區)使用、披露及轉移個人資料予有關人士,包括但不限於任何司法管轄區的監管機構和政府機關,以及受託人的母公司、聯營公司及其他集團成員,以便向成員提供有關本計劃的服務或任何與本計劃直接有關的用途,及/或核對該名成員的其他個人資料。成員有權查閱及更正任何其個人資料,或要求不得使用其個人資料作直接促銷用途。如有此需要,請致函香港銅鑼灣威非路道 18 號萬國寶通中心 12 字樓,向中銀國際英國保誠信託有限公司資料保障主任提出。

Personal data provided by members of BOC-Prudential Easy-Choice Mandatory Provident Fund Scheme (the "Scheme") and details of transactions or dealings by such members may be used for the purposes of processing the application, administering and managing contributions, accrued benefits, claims and transfers under the Scheme, and/or for the purpose of conducting direct marketing of, improving, or furthering the provision of MPF related products and/or services of the company. The provision of personal data is voluntary, but failure to provide the information required may result in the Trustee being unable to process the application and instructions. These information may be used, disclosed and transferred (in and outside the Hong Kong Special Administrative Region) to such persons as the Trustee may deem fit, including but not limited to the relevant authorities in any jurisdiction, the regulatory and government authorities of the Scheme and its parent company, its associate companies and other group members. Members have a right to access to and correct any personal data or to request that personal data about them not be used for direct marketing purposes. Such request should be in writing to the Data Protection Officer of BOC-Prudential Trustee Limited at 12/F, Citicorp Centre, 18 Whitfield Road, Causeway Bay, Hong Kong.

若客戶不欲其個人資料用作直接促銷用途,請在方格內填上剔號



- ☐ 如閣下不欲個人資料用作上述直接促銷用途,請在方格內填上剔號(此指示適用於閣下於本公司強積金計劃開立之所有帳戶(包括本公司其他強積金計劃、職業退休計劃及/或所有將來開立之帳戶)).如閣下以往曾提交指示不欲個人資料用作上述服務,閣下須另行填寫「個人資料使用表格」,方能重新享用此項服務。

Please tick if you do not wish your personal data to be used for the above direct marketing purpose (this instruction applies to all your accounts set up under our MPF/ORSO schemes (including all our other MPF/ORSO schemes and/or any further accounts set up in the future)). If you have instructed us you do not wish your personal data to be used for the above service, please submit an "Instruction of Use of Personal Information" form to us to receive such service.

丁部 申請及聲明

PART D

APPLICATION AND DECLARATION

聲明 Declaration:

- 本人確認已閱讀、明白及同意丙部內的個人資料收集聲明。  
I confirm that I have read, understood and agreed to the Personal Data Collection Statement in Part C.
- 本人知悉及同意,受託人可把收集所得的資料交給稅務局以將資料交到另一稅務管轄區的稅務當局,以作自動交換財務帳戶資料用途以遵守稅務法律及規例(包括但不限於《稅務條例》(第 112 章)和根據自動交換資料有關的經濟合作與發展組織(OECD)《通用報告準則》(CRS)的規則)。  
I acknowledge and agree that the data collected may be transmitted by the Trustee to the Inland Revenue Department which may further exchange such information to the competent authority of another reportable jurisdiction. For the purpose of Automatic Exchange of Financial Account Information ("AEOI") in compliance with tax law and regulations (including but not limited to the Inland Revenue Ordinance (Cap.112) and regulations based on the Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard (CRS) for automatic exchange of information).
- 本人承諾,如本人的稅務居住地相關的情況有所改變,必須在改變後的 30 天內通知受託人有關的改變並提供最新的自我證明。  
I undertake to notify the Trustee within 30 days if there is any change in circumstances relating to my status of tax residency(ies) that makes any of the information provided in any parts of the self-certification in this form incorrect or incomplete and provide an updated self-certification form.

Chan Tai Man

15/02/2020

申請人簽署 Applicant Signature

日期 Date (日 DD/月 MM/年 YYYY)

公司專用 For Official Use Only	Input By:		Date:		Verified by:		Date:	
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